

AMIR SUFI

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Academic Positions

- 2005- **Graduate School of Business, University of Chicago, Chicago, IL**
Associate Professor of Finance (2009-)
Assistant Professor of Finance (2005-2009)
- 2009- **National Bureau of Economic Research**, Faculty Research Fellow

Education

- 2001 – 2005 **Massachusetts Institute of Technology, Cambridge, MA**
Ph.D., Economics
Dissertation: *The Role of Banks in Corporate Finance*
Areas: Finance, Public Economics, Econometrics, Contract Theory
Committee: James Poterba (Chair), Antoinette Schoar, Philip Strahan
- 1995 – 1999 **Georgetown University, Walsh School of Foreign Service, Washington, DC**
B.S., Economics, *magna cum laude*, with honors, Phi Beta Kappa

Honors

Paper Prizes

“Information Asymmetry and Financing Arrangements: Evidence from Syndicated Loans”
Brattle Prize for Distinguished Paper published in the *Journal of Finance*
NASDAQ Best Paper on Capital Formation, Western Finance Association
Outstanding Paper in Corporate Finance, Eastern Finance Association
WRDS Best Paper Award in Financial Intermediation, Midwest Finance Association

“Bank Lines of Credit in Corporate Finance: An Empirical Analysis”
JPMorganChase *Review of Financial Studies* Young Researcher Prize (Inaugural Prize)

“Control Rights and Capital Structure: An Empirical Investigation”
Wharton Rodney L. White Geewax, Terker, & Company Prize for Investment Research (Runner up)

Scholarships and Awards

- July 2008 NSF Award #0820337: “Consumer Credit, Housing Prices, and Consumption”, with Atif Mian
July 2007 IBM Corporation Scholar, Chicago GSB
March 2006 FDIC Center for Financial Research Fellowship,
June 2005 MIT Solow Endowment Prize for Graduate Student Excellence in Teaching and Research
March 2005 FDIC Center for Financial Research Fellowship
May 2003 Boston College Center for Retirement Research Dissertation Fellowship
2001-2003 MIT Castle Krob Graduate Student Fellowship
May 1999 Notz Medal for top Economics Undergraduate, Georgetown University

Refereed Publications

Information Asymmetry and Financing Arrangements: Evidence from Syndicated Loans
Journal of Finance April 2007, 62(2), 629-668

Bank Lines of Credit in Corporate Finance: An Empirical Analysis
Review of Financial Studies, March 2009, 22(3), 1057-1088

The Real Effects of Debt Certification: Evidence from the Introduction of Bank Loan Ratings
Review of Financial Studies, April 2009, 22(4), 1659-1691

Creditor Control Rights and Firm Investment Policy (with Greg Nini and David Smith)
Journal of Financial Economics, June 2009, 92(3), 400-420

Renegotiation of Financial Contracts: Evidence from Private Credit Agreements (with Michael Roberts)
forthcoming, *Journal of Financial Economics*

Control Rights and Capital Structure: An Empirical Investigation, (with Michael Roberts)
forthcoming, *Journal of Finance*

The Consequences of Mortgage Credit Expansion: Evidence from the U.S. Mortgage Default Crisis
(with Atif Mian)
forthcoming, *Quarterly Journal of Economics*

Surveys and Conference Proceedings

Financial Contracting: A Survey of Empirical Research and Future Directions
With Michael Roberts
Forthcoming in inaugural edition of *Annual Review of Financial Economics*, 2009

Summary of “The Consequences of Mortgage Credit Expansion”
With Atif Mian
FRB of Chicago Bank Structure Conference Proceedings, May 2008, 129-132

The Effect of Creditor Control Rights on Firm Investment Policy: Evidence from Private Credit Agreements
With Greg Nini and David Smith
FRB of Chicago Bank Structure Conference Proceedings, May 2007, 117-122

Dynamic Inefficiencies in Insurance Markets: Evidence from Long-Term Care Insurance
American Economic Review Papers and Proceedings, May 2005, 95: 224-228
With Amy Finkelstein and Kathleen McGarry

Expansion of Bank Powers: Who Gains the Most?
Federal Reserve Bank of Chicago Bank Structure Conference Proceedings, May 2001, 682-698
With Philip Strahan

The Changing Landscape of the Financial Services Industry: What Lies Ahead?
Federal Reserve Bank of New York Economic Policy Review, October 2000, 39-55
With Cara Lown, C.S. Osler, and Philip Strahan

Working Papers

Capital Structure and Debt Structure (with Joshua Rauh)

The Political Economy of the U.S. Mortgage Default Crisis (with Atif Mian and Francesco Trebbi)

House prices, Home Equity-Based Borrowing, and the U.S. Household Leverage Crisis (with Atif Mian)

Creditor Control Rights, Corporate Governance, and Firm Value (with Greg Nini and David C. Smith)

Professional Services

Associate Editor, *Journal of Financial Services Research*

Referee for: *American Economic Review, Journal of Accounting Research, Journal of Banking and Finance, Journal of Finance, Journal of Financial Economics, Journal of Financial Intermediation, Journal of Monetary Economics, Journal of Money, Credit, and Banking, Journal of Political Economy, Journal of Public Economics, National Science Foundation, Quarterly Journal of Economics, Review of Economics and Statistics, Review of Financial Studies, Western Finance Association Program Committee, NY Fed-NYU Conference on Financial Intermediation Program Committee*

Invited Presentations

Schools/Institutions

Berkeley (Haas), Boston College, Chicago GSB, Columbia GSB, Cornell University (Johnson), Dartmouth University (Tuck), Emory University (Goizueta), Federal Reserve Board of Governors, Federal Reserve Bank of Kansas City, Federal Reserve Bank of San Francisco, Federal Reserve Bank of Philadelphia, Federal Reserve Bank of New York, Georgetown University (McDonough), Harvard Business School, New York University (Stern), Northwestern University (Kellogg), Princeton University, Rice University (Jones), Rochester (Simon), University of Arizona (Eller), University of British Columbia (Sauder), University of Colorado (Leeds), University of Florida (Warrington), University of Illinois (College of Business), University of Kentucky (Gatton), University of Minnesota (Carlson), University of North Carolina (Kenan-Flagler), University of Notre Dame (Mendoza), University of Pennsylvania (Wharton), University of Southern California (Marshall), University of Toronto (Rotman), University of Utah (Eccles), University of Virginia (McIntire), Washington University (Olin), Yale School of Management, York University

Conferences

AFA/AEA (2006, 2007, 2008, 2009), Western Finance Association (2005, 2006, 2008), Mitsui Symposium at Michigan (2008), Moody's/NYU Credit Risk Conference (2008), NBER Corporate Finance (Fall 2005, Summer 2006, Spring 2007, Spring 2008, Spring 2009), NBER Monetary Economics (Spring 2008, Spring 2009, Summer 2009), NBER Risk of Financial Institutions (Spring 2008, Summer 2009), NBER Capital Markets and the Economy (Summer 2008), NBER Aggregate Implications of Microeconomic Behavior (Summer 2009), Utah Winter Finance Conference (2008), Financial Research Association Conference (2007), Washington University Conference on Corporate Finance (2007), New York Fed/NYU Conference on Financial Intermediation (2007), Texas Finance Festival (2007), University of Mannheim Financial Contracting Conference (2007), Federal Reserve Bank of Chicago Bank Structure Conference (2001, 2007, 2008, 2009), FDIC Center for Financial Research (2005, 2006), RFS/Wharton/FRBNY Conference on Corporate Finance of Financial Intermediaries (2006), Midwest Finance Association (2005), Eastern Finance Association (2005)

References

Professor James Poterba, MIT Department of Economics

Professor Antoinette Schoar, MIT Sloan School of Management

Professor Philip Strahan, Boston College Carroll School of Management