

## ELLEN E. ENGEL

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University of Chicago  
Booth School of Business  
5807 South Woodlawn Avenue  
Chicago, IL 60637

Office Phone: (773) 834-0966  
Fax: (866) 377-5152  
ellen.engel@ChicagoBooth.edu

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### **Academic Positions**

*The University of Chicago* Booth School of Business

- Adjunct Associate Professor of Accounting, 2007 to present.
- Associate Professor of Accounting, 2001 to 2007.
- Assistant Professor of Accounting, 1996 to 2001.
- FMC Faculty Scholar, 2000-2001 academic year.

*Stanford University*, Graduate School of Business

- Research Assistant, September, 1991-August, 1996

### **Education**

*Stanford University*, Graduate School of Business, Ph.D. - Accounting, 1997.

*The University of Chicago* Booth School of Business, M.B.A.,  
Concentrations in Finance, Statistics and Policy Studies, 1991.

*The University of Detroit*, College of Commerce and Finance, B.S. - Accounting,  
Summa Cum Laude, May, 1983.

### **Published Book**

“Cases in Financial Reporting,” (with D. Eric Hirst and Mary Lea McAnally). Cambridge Business Press, 2008.

### **Published Research**

“Audit Committee Compensation and the Demand for Monitoring of the Financial Reporting Process,” (with R. Hayes and X. Wang).

- Selected paper for inclusion in the *Journal of Accounting and Economics 2008 Conference on Current Issues in Accounting & Reassessing the Regulation of Capital Markets*.
- 2009, *Journal of Accounting and Economics*, (forthcoming).

“The Sarbanes-Oxley Act and Firms' Going-Private Decisions,” (with R. Hayes and X. Wang).

- Selected paper for inclusion in the *Journal of Accounting and Economics 2005 Conference on Corporate Governance: Financial Reporting, Internal Control, and Auditing*.
- 2007, *Journal of Accounting and Economics*.

**Published Research (continued)**

“An Analysis of the Relation Between the Stewardship and Valuation Roles of Earnings,” (with R. Bushman, and A. Smith). 2006, *Journal of Accounting Research*.

“Discussion of Does the Market Value Financial Expertise on Audit Committees of Boards of Directors?” 2005, *Journal of Accounting Research*.

"Financial Accounting Information, Organizational Complexity and Corporate Governance Systems," (with R. Bushman, Q. Chen and A. Smith). *Journal of Accounting and Economics*. v. 37, 2004.

"CEO Turnover and Properties of Accounting Information." (with R. Hayes and X. Wang).  
- Selected paper for inclusion in *Journal of Accounting and Economics 2002 Conference on Current Issues in Financial Reporting, Disclosure Practices, and Corporate Governance*.  
- *Journal of Accounting and Economics* v. 36, 2003.

“The Roles of Performance Measures and Monitoring in Annual Governance Decisions in Entrepreneurial Firms” (with Elizabeth Gordon and Rachel Hayes). *Journal of Accounting Research* v. 40, 2002.

“Debt-Equity Hybrid Securities” (with M. Erickson and E. Maydew).  
- *Journal of Accounting Research* v. 37, 1999.  
- Awarded American Taxation Association 2002 Tax Manuscript Award for significant contribution to the tax literature.

“Discretionary Behavior with Respect to Allowances for Loan Losses and the Behavior of Security Prices” with William H. Beaver. *Journal of Accounting and Economics*, v. 22, 1996.

**Conference Presentations**

*Journal of Accounting and Economics* Conference, Rochester, NY, October, 2007, Presented “Audit Committee Compensation and the Demand for Monitoring of the Financial Reporting.”

*Journal of Accounting and Economics* Conference, Boston, MA, October, 2005, Presented “The Sarbanes-Oxley Act and Firms' Going-Private Decisions.”

American Accounting Association Annual Meeting, San Francisco, CA, August, 2005, Presented “The Sarbanes-Oxley Act and Firms' Going-Private Decisions.”

*Journal of Accounting and Economics* Conference, Boston, MA, October, 2002, Presented “Relative Usefulness of Firm Performance Measures in CEO Turnover Decisions.”

**Conference Presentations (cont'd)**

University of California at Berkeley - Berkeley Accounting Research Talks, Berkeley, CA, January, 2002,  
Presented "Relative Usefulness of Firm Performance Measures in CEO Turnover Decisions."

Harvard Business School 2001 Financial Decisions and Control Summer Workshop, Boston, MA, June 2001,  
Presented "An Analysis of the Relation Between the Stewardship and Valuation Roles of Earnings."

*Journal of Accounting Research* Conference, Chicago, IL, May, 2001,  
Presented "Incentives and Governance in Entrepreneurial Firms."

European Finance Association Annual Meeting, London, United Kingdom, August, 2000,  
- Presented "The Sensitivity of Corporate Governance Structures to the Timeliness of Accounting Earnings" in session on "Earnings, Governance and Compensation."  
- Discussant for "Earnings and Stock Prices" session at Accounting Symposium.

American Accounting Association Annual Meeting, San Diego, CA, August, 1999, Presented  
"The Sensitivity of Corporate Governance Structures to the Timeliness of Accounting Earnings."

Big Ten Faculty Consortium, Pennsylvania State University, June, 1999,  
Presented "The Sensitivity of Corporate Governance Structures to the Timeliness of Accounting Earnings."

American Accounting Association Annual Meeting, New Orleans, LA, August, 1998,  
Presented "Empirical Investigation of Trends in the Absolute and Relative Use of Earnings in Determining CEO Cash Compensation."

**Invited Workshop Presentations**

University of California at Berkeley  
University of California at Los Angeles  
University of Chicago (Accounting workshop; Joint workshop with Economic and Legal Organization Workshop)  
Harvard Business School  
University of Illinois at Champaign-Urbana  
University of Illinois at Chicago  
The London Business School  
Massachusetts Institute of Technology  
University of Michigan (1996 and 2004)  
City University of New York – Baruch College  
Northwestern University (1996 and 2002)

**Invited Workshop Presentations (cont'd)**

University of Memphis  
University of Notre Dame (1996 and 2008)  
University of North Carolina (1996 and 2002)  
University of Oregon  
University of Pennsylvania – Wharton School  
University of Rochester  
University of Southern California  
University of Texas at Austin

**Scholarly Honors and Awards**

Kaufmann Faculty Research Grant, University of Chicago, Booth School of Business, 2005.

American Taxation Association 2002 Tax Manuscript Award for significant contribution to the tax literature for “Debt-Equity Hybrid Securities.”

Kaufmann Faculty Research Grant, University of Chicago, Booth School of Business, 2000.

FMC Corporation Faculty Fellow, 2000-2001 academic year, University of Chicago, Booth School of Business

PAC 10 Conference Doctoral Consortium representative, 1993.

KPMG Peat Marwick Doctoral Scholarship, 1992.

Graduated Summa Cum Laude, University of Detroit, College of Commerce and Finance, 1983.

**Teaching Experience**

*University of Chicago* Booth School of Business,

Full-time and part-time M.B.A. programs:

- Principles of Financial Accounting
- Financial Statement Analysis

Executive education program:

- Financial Analysis for Nonfinancial Managers
- Investment Management Consultants Association - Chartered Private Wealth Advisor<sup>SM</sup> Program

Teaching Assistant, *Stanford University*, Graduate School of Business, Financial Accounting (GSB and University), Financial Reporting, and Taxes and Business Strategy courses, September, 1993-December, 1995.

**Service Activities**

Member of Editorial Board, *Journal of Accounting Research*, 2001 to 2007.

Ad hoc reviewer for the following journals/organizations:

- *Accounting Horizons*
- *Accounting Review*
- *American Economic Review*
- *Contemporary Accounting Research*
- *Financial Management*
- *Journal of Accounting, Auditing and Finance*
- *Journal of Accounting and Economics*
- *Journal of Business*
- *Journal of Law, Economics and Organizations*
- *Managerial Finance*
- *American Accounting Association Annual Meetings, Financial Accounting and Reporting Section reviewer*

Doctoral dissertation committees and initial placements:

- Xue Wang, Goizueta Business School - Emory University
- John Shon, Baruch College – The City University of New York
- Yoel Beniluz, Rutgers Business School – The State University of New Jersey
- Qi Chen, Fuqua School of Business - Duke University
- Jennifer Milliron, Chicago Partners, Inc.

**Professional Experience**

Audit Manager and Consultant, Plante & Moran, CPAs and Management Consultants, Southfield, MI, July, 1983 – August, 1991.

**Professional Affiliations**

Certified Public Accountant, Michigan, 1985 – present.

Member of the American Institute of Certified Public Accountants.

Member of the American Accounting Association.

Member of the American Finance Association.

Member of the University of Chicago Women’s Business Group.

Member of the Board of Advisors, College of Business Administration, University of Detroit-Mercy, Detroit, MI